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<b>(21) International Application Number:</b> PCT/US91/01512 <b>(22) International Filing Date:</b> 5 March 1991 (05.03.91) <b>(30) Priority data:</b> 594,653 9 October 1990 (09.10.90) US <b>(71) Applicant:</b> PROSOCO, INC. [US/US]; 755 Minnesota, Kansas City, KS 66117 (US). <b>(72) Inventors:</b> ENGEL, James, F. ; 124 W. 78th Terrace, Kansas City, MI 64114 (US). BOYER, Gerald, E. ; 68 Seagate Drive, Unit #1, Naples, FL 33940 (US). <b>(74) Agents:</b> MCMAHON, John, C. et al.; Litman, McMahon & Brown, 1200 Main Street, Suite 1600, Kansas City, MO 64105 (US).		<b>(81) Designated States:</b> AT (European patent), AU, BB, BE (European patent), BF (OAPI patent), BG, BJ (OAPI patent), BR, CF (OAPI patent), CG (OAPI patent), CH (European patent), CM (OAPI patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GA (OAPI patent), GB (European patent), GR (European patent), HU, IT (European patent), JP, KP, KR, LK, LU (European patent), MC, MG, ML (OAPI patent), MR (OAPI patent), MW, NL (European patent), NO, PL, RO, SD, SE (European patent), SN (OAPI patent), SU*, TD (OAPI patent), TG (OAPI patent).  <b>Published</b> <i>With international search report.</i>	
<b>(54) Title:</b> METHOD OF RENDERING MASONRY MATERIALS WATER REPELLENT WITH LOW VOC ORGANOALKOXYSILANES			
<b>(57) Abstract</b>  A composition and method for rendering a surface water repellent. The composition is a substantially solvent-free organoalkoxysilane having between two and nine silicon atoms per silane. An oleophobic organofluoro compound may be added to the composition in order to render the surface also oil repellent.			

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# + DESIGNATIONS OF "SU"

Any designation of "SU" has effect in the Russian Federation. It is not yet known whether any such designation has effect in other States of the former Soviet Union.

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1       METHOD OF RENDERING MASONRY MATERIALS WATER REPELLENT  
2                   WITH LOW VOC ORGANOALKOXYSILANES  
3

4                   Background of the Invention  
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6       The present invention relates to the use of water-  
7 repellent compositions of solvent-free dimers, trimers and  
8 other lower oligomers of organoalkoxysilanes, as well as  
9 mixtures thereof on siliceous or carbonaceous surfaces. The  
10 compositions may also be rendered oil resistant by the  
11 addition of fluoroorganic compounds, especially fluoro  
12 organic polymers.

13       Silicon based materials have been used for many years  
14 to render masonry and related surfaces water repellent.  
15 Many improvements have been made over the years to improve  
16 the efficacy of the materials in repelling water.  
17 Improvements have also been made in the silicon based  
18 materials in the areas of durability or wear and with  
19 respect to reducing the attraction of atmospherically  
20 carried dirt and other contaminants to surfaces on which the  
21 materials have been applied.

22       Many of the alkyltrialkoxysilanes currently available  
23 as water repellent surface coatings are quite suited for  
24 such a purpose. Nevertheless, environmental pollution has  
25 become a more prominent issue in recent years and  
26 conventional alkyltrialkoxysilane compositions release a  
27 substantial amount of air polluting solvents and organic by-  
28 products upon application to a surface and during a  
29 subsequent curing process thereof. Therefore, it is  
30 desirable to provide a silicon based water repellent for

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1 treating surfaces that substantially renders the surfaces as  
2 resistant to liquid water as prior art silicon based  
3 compounds, while releasing substantially less pollution in  
4 the form of volatile organic compounds (VOC) during curing  
5 of the repellent.

6 In particular, prior art organosilicon compositions  
7 used as water repellents typically include silicone oils or  
8 fluids, alkali metal siliconates, polysiloxanes, and  
9 alkyltrialkoxysilane monomers or mixtures thereof. Such  
10 compositions have been dissolved in organic solvents,  
11 emulsified with water, catalyzed by a variety of catalysts,  
12 applied under a variety of conditions, admixed with  
13 surfactants, fillers, etc. The substrates to which these  
14 water repellents have been applied have included  
15 inorganic or organic materials that contain many different  
16 types of hydroxyl-group-bearing components having hydroxyl  
17 sites at which silicon-oxygen bonds can be formed or other  
18 sites to which the silicon can bond. Such substrates  
19 generally have included masonry products, cellulosic  
20 materials and similar materials.

21 Solutions of silicone oils were among the first  
22 organosilicon materials employed as water repellents, but  
23 upon evaporation of the solvent, the silicone oils often  
24 left surfaces sticky, because the oils did not polymerize  
25 into pores within the substrate, and, thus, facilitated  
26 accumulation of atmospheric dirt. Alkali metal siliconates  
27 improved performance in this area, but the siliconates pose  
28 some safety hazards to applicators because of their  
29 intrinsic high alkalinity, and on some substrates, a surface  
30 film remained after application of the siliconates.

1 Solutions of polysiloxanes and/or alkyltrialkoxysilane  
 2 monomers in organic solvents have proven to be very good  
 3 water repellents and have been highly successful in  
 4 preventing corrosive chloride ions from salt or the like  
 5 from entering masonry and damaging metal, such as  
 6 reinforcing bar, therein. Many such compositions of  
 7 polysiloxanes and/or alkyltrialkoxysilanes monomers are  
 8 considered highly effective for their intended purpose when  
 9 the performance of such compositions as water repellents  
 10 alone is considered.

11 However, prior art compositions of polysiloxanes and  
 12 alkyltrialkoxysilanes, as well as the silicone oils,  
 13 contribute to air pollution by virtue of the solvents  
 14 therein which evaporate into the atmosphere. In addition,  
 15 polysiloxanes and alkyltrialkoxysilane monomers also release  
 16 alcohols during their curing process as noted by the  
 17 following equations:

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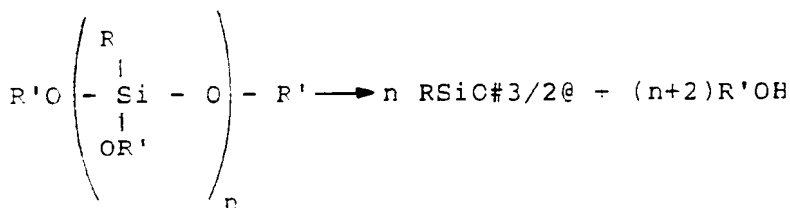
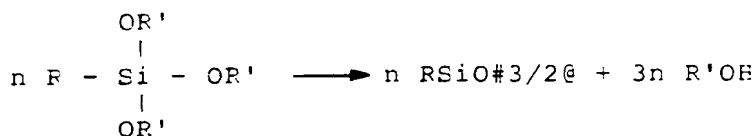
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28 (wherein R and R' are organic radicals and n is an integer)

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1 It is noted that the alkyltrialkoxysilane monomers release a  
2 greater amount of volatile organic compounds (VOC) to the  
3 atmosphere per unit weight upon application to a surface and  
4 prior to curing as compared to the polysiloxanes, because  
5 the monomers are inherently more volatile due to the  
6 relatively low molecular weights thereof and the monomers  
7 release more volatile alcohols per unit weight as compared  
8 to the polysiloxanes.

9 Water emulsions of alkyltrialkoxysilane monomers and  
10 polysiloxanes have been tried as water repellents, but  
11 generally have shown poor performance characteristics due to  
12 fundamental chemical instability, lack of pH stability,  
13 presence of color formation especially upon irradiation with  
14 ultraviolet light, lack of penetration depth, and/or poor  
15 water-repellency.

16 Recently, solvent-free alkyltrialkoxysilane monomers  
17 have found limited utility as water repellents. These  
18 solvent-free materials have been shown to penetrate more  
19 deeply into substrates than solvent-carried  
20 alkyltrialkoxysilane monomers or polysiloxanes. However,  
21 the VOC levels for these materials are not appreciably lower  
22 than some prior art repellents, as the monomers themselves  
23 are volatile enough to significantly evaporate from warm/hot  
24 substrates and a substantial amount of volatile alcohol is  
25 released upon polymerization during curing.

26 Thus, there is a need for high performance water  
27 repellents that do not change the appearance of substrates,  
28 that are stable over a wide range of the pH scale, that are  
29 relatively long wearing, that are effective chloride ion  
30 screens, and especially that release relatively low levels

1 of VOC to the environment. Moreover, it is desirable for  
2 such water repellents to also be oleophobic so that the  
3 repellent may simultaneously be resistant to both oil and  
4 water, thereby producing a generally graffiti resistant  
5 surface.

6

7 Summary of the Invention

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9 The present invention is directed to improved water-  
10 repellent compositions for masonry products, cellulosic  
11 materials, and other substrates capable of forming  
12 silicon-oxygen bonds with the water repellent compositions.

13 The repellents of the present invention are generally  
14 neat or solvent-free compositions of an organoalkoxysilane  
15 wherein the silane has from two to approximately nine  
16 silicon atoms with from two to six silicon atoms  
17 functioning best for most applications, and preferably has  
18 from two (dimer) to three (trimer) silicon atoms. Although  
19 the composition may consist of a single uniform  
20 organalkoxysilane, mixtures of different silanes may be and  
21 normally are present. The presence of different silanes is  
22 even more likely as soon as the composition is exposed to  
23 atmospheric air, as moisture in the air will react with some  
24 of the silanes to promote polymerization. While the organo  
25 group may be any of a wide range of organic radicals having  
26 up to thirty carbons wherein many different atoms may be  
27 substituted for hydrogen and/or multiple bonds may exist  
28 between carbons, relatively less expensive and simple alkyls  
29 are found to function well and alkyl groups having between  
30 four and eight carbons or mixtures thereof are preferred.

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1 Likewise, while the alkoxy groups may be exotic organic  
2 compounds with hydrogen substituted by varied other atoms  
3 and/or double bonding between carbons, relatively low alkyl  
4 chains (eight or fewer) function well with methoxy and  
5 ethoxy groups being preferred or mixtures thereof. A  
6 preferred composition of the invention is a solvent-free  
7 flowable liquid in which the silane is 1,3-di-n-octyl-  
8 1,1,3,3-tetraethoxydisiloxane or 1,3-di-n-octyl-1,1,3,3-  
9 tetramethoxydisiloxane or other dimer and trimer silanes  
10 having alkyl groups with between four and eight carbons and  
11 alkoxy groups with one or two carbons and mixtures thereof  
12 prior to polymerization.

13 The repellent compositions of the present invention  
14 are also preferably oleophobic. The repellents are rendered  
15 oleophobic by the inclusion of a fluoroorganic compound that  
16 is oil repellent. Preferably, the fluoroorganic compound is  
17 incorporated within the repellent in amounts of from about  
18 0.1 to 2.0 percent by weight although greater amounts may be  
19 included.

20 Preferably, the repellent compositions of the present  
21 invention have no solvent for either the organoalkoxysilane  
22 component or the fluoroorganic component. In a preferred  
23 embodiment, the organoalkoxysilane is a dimer, trimer, or  
24 mixture thereof, silane in an amount from approximately  
25 100.0 to 98.0 percent by weight and a polyfluorinated organo-  
26 ic polymer in an amount from 0.0 to 2.0 percent by weight.

27 The repellent compositions of the invention are  
28 applied in a solvent-free state to siliceous and  
29 carbonaceous surfaces or the like having hydroxyl groups to  
30 which silicon may bond. The repellents of the present



1 invention after being applied to and curing on a surface of  
2 a substrate provide highly effective performance as water  
3 repellents compared to prior technologies, do not impart  
4 detectable aesthetic change in appearance to the substrate,  
5 are chemically stable on substrates characterized by pH  
6 values over a wide range of the pH scale, are stable to  
7 ultraviolet and visible light, and release extremely low  
8 levels of volatile organic compounds (VOC) to the  
9 environment. Moreover, addition of solvent-free oleophobic  
10 organofluoro compounds to the repellents results in surface  
11 treatments that are oil repellent as well as water  
12 repellent, with the organofluoro compound penetrated into  
13 the substrate to relatively deep levels, thus yielding  
14 generally graffiti resistant substrates and associated  
15 surfaces.

#### 16 Objects of the Invention

17  
18 Therefore, the objects of the present invention are:  
19 to provide silane compositions that will penetrate  
20 substrates as deeply as, or nearly as deeply as, solvent-  
21 free alkyltrialkoxysilane monomers and more deeply than  
22 solvent-carried alkyltrialkoxysilane monomers, but have  
23 significantly lower vapor pressures and higher boiling  
24 points which result in significantly reduced evaporation  
25 compared to the monomers; to provide such compositions  
26 that will penetrate substrates significantly deeper than  
27 solvent-carried polysiloxanes and thus be less prone to  
28 removal by abrasion; to provide such compositions that  
29 will have effective chloride ion screening  
30 properties that are comparable to polysiloxanes and

1 alkyltrialkoxysilane monomers; to provide such compositions  
2 that will not only excel in performance as water repellents,  
3 but will simultaneously perform as oil repellents; to  
4 provide such compositions that are relatively easily spread  
5 on a surface to be treated thereby and which treat a  
6 relatively large area of such a surface for each unit by  
7 weight of composition used; to provide such compositions  
8 which release relatively low levels of VOC compared to prior  
9 art water and oil repellents; to provide a method of  
10 manufacturing a neat composition of organoalkoxysilanes and  
11 organofluoro compounds; and to provide such compositions that  
12 are relatively easy to use, inexpensive to produce and are  
13 especially well suited for the intended purpose thereof.

14 Other objects and advantages of this invention will  
15 become apparent from the following description wherein are  
16 set forth, by way of illustration and example, certain  
17 embodiments of this invention.

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19 Detailed Description of the Invention

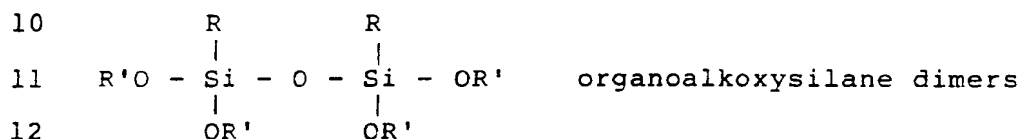
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21 As required, detailed embodiments of the present  
22 invention are disclosed herein; however, it is to be  
23 understood that the disclosed embodiments are merely  
24 exemplary of the invention, which may be embodied in  
25 various forms. Therefore, compositions disclosed herein are  
26 not to be interpreted as limiting, but merely as a basis for  
27 the claims and as a representative basis for teaching one  
28 skilled in the art to variously employ the present  
29 invention.

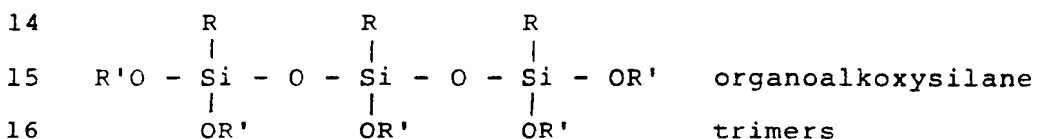
30 The present invention is especially directed to

1 solvent-free mixtures of organoalkoxysilane oligomers having  
 2 between two and nine silicon atoms per silane and preferably  
 3 to a neat or solvent-free liquid composition of silane  
 4 dimers, trimers and other relatively low oligomers, as well  
 5 as mixtures thereof. As used herein low oligomers of  
 6 silanes means a silane having between 2 and 9 silicon atoms  
 7 and the term neat means an essentially solvent-free  
 8 composition. The oligomer silane structures are:

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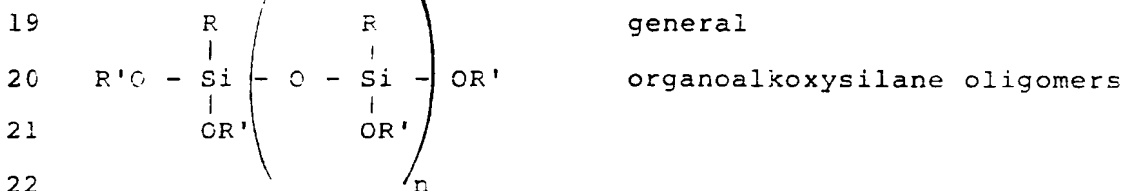


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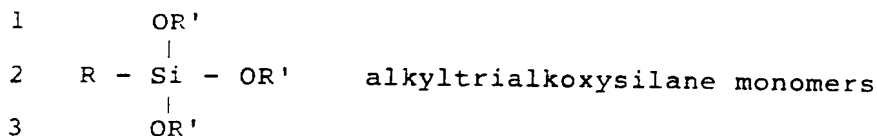
24 (wherein  $n = 1$  to 8 and R and R' are organic radicals)

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26 The above oligomer silane structures are compared to  
 27 alkyltrialkoxysilane monomers and polysiloxanes that have  
 28 the following general formulas:

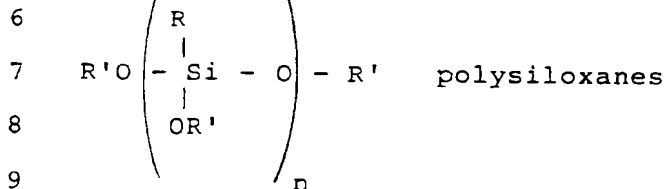
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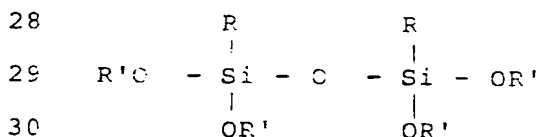
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11 (where  $n = 10$  to about  $80$  and where  $R$  and  $R'$  are  
 12 organic radicals)

13

14 In accordance with the present invention, an  
 15 organoalkoxysilane neat or essentially solvent-free flowable  
 16 liquid composition is provided for the treatment of surfaces  
 17 of substrates, especially where the surfaces and pores from  
 18 the surfaces into the substrates have exposed hydroxyl  
 19 groups to which silicon in the silane may bond. Such  
 20 substrates include, but are not limited to, masonry surfaces  
 21 (such as concrete, plaster, calcareous sandstone and brick),  
 22 carbonaceous surfaces (such as cellulose especially, wooden  
 23 decks) and the like. The composition may also be utilized  
 24 in conjunction with aluminum-containing masonry. The  
 25 organoalkoxysilane of the present invention has the  
 26 following general formula:

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30

1           In the above formula, R is an organic group or radical,  
2   especially an alkyl, cycloalkyl, arylalkyl or alkaryl group  
3   of from one to about thirty carbons in length. Each of the  
4   carbons in the R groups may have associated hydrogens or  
5   heteroatoms such as oxygen, nitrogen, sulfur and fluorine or  
6   may have double or ring (aryl) bonds with adjacent carbons.  
7   The R groups on each silane molecule and on different silane  
8   molecules within the composition may be all the same or may  
9   be various mixtures thereof. R groups of from four to eight  
10   carbons have been found to be effective. R groups wherein R  
11   is isobutyl, n-hexyl or n-octyl are preferred. It is noted  
12   that it is also possible for R's to polymerize to combine  
13   silanes.

14           Further in the above formula, R' is an organic group or  
15   radical, especially an alkyl or alkoxyalkyl group containing  
16   from one to about eight carbon atoms. While it is foreseen  
17   that the R' groups may be quite exotic organic radicals with  
18   various atoms besides hydrogen and/or with multiple or  
19   cyclic bonds between carbons, relatively simple and  
20   inexpensive alkyl groups function well within the scope of  
21   the invention.

22           Preferably, the R' groups are methyl or ethyl or  
23   mixtures thereof. The methyl groups are preferred where it  
24   is desirable to limit the release of VOC's as compared to  
25   the weight of the silanes used; however, in some locations  
26   release of ethanol may be preferred to the release of  
27   methanol and, therefore, ethoxy groups may be preferred for  
28   the R' groups. It is foreseen that the R' groups on a  
29   single silane molecule or on different silane molecules  
30   within the composition may all be the same or may be

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1 different mixtures of various R's. Normally, R' groups with  
2 carbon chains below eight, especially one or two, are  
3 preferred. Nevertheless, the larger carbon chained R'  
4 groups tend to polymerize and cross-link slower within pores  
5 of the surface being treated which typically leads to deeper  
6 penetration of the repellent into the substrate of the  
7 surface. Consequently, for some applications, longer carbon  
8 chained R' groups will be desirable; and, in other  
9 applications, relatively short (1 or 2) carbon chain groups  
10 will be preferred.

11 Also in the above compound, n is between 1 and 8 such  
12 that each silane molecule (except for condensation products  
13 thereof) has between 2 and 10 silicon atoms. Preferably, n  
14 is 1 (dimer) or 2 (trimer) but silanes wherein n is 3  
15 (tetramer) and 4 (pentamer) or another oligomer up to n being  
16 8 are foreseen to have uses in accordance with the present  
17 invention.

18 Due to the low viscosity of the organoalkoxysilane  
19 oligomers composition of the present invention, the  
20 compositions have a relatively deep penetration into a  
21 substrate to which the composition is applied prior to  
22 curing. After curing, the depth of the resulting water  
23 repellent insures that the repellent will not be easily or  
24 quickly removed by abrasion or wear. Furthermore, the  
25 silanes may function as a carrier for an oleophobic  
26 organofluoro compound. Organofluoro compounds are known to  
27 impart oil repellency properties to silicon-based water  
28 repellents; however, they are also known for their lack of  
29 penetration into substrates. A solvent-carried organofluoro  
30 compound may be added to the solvent-free dimers and

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1 trimers; thereafter and prior to application to a surface,  
2 the carrier solvent for the organofluoro compound is removed  
3 by vacuum distillation. The depth of penetration of the  
4 organofluoro compound is enhanced by using the silane as a  
5 carrier thereof as compared to a conventional solvent  
6 carrier.

7 Consequently, in certain embodiments of the present  
8 invention, neat liquid compositions of substantially pure  
9 oligomers of organoalkoxysilanes will be utilized along with  
10 mixtures and condensation products thereof. In other  
11 embodiments an organofluoro compound may be added to the  
12 composition to render oleophobic the surfaces upon which the  
13 compositions are applied.

14 The organofluoro compound may be any such compound that  
15 has oleophobic properties, that is soluble in the silane and  
16 that does not have other detrimental attributes. Oleophobic  
17 organofluoro compounds suitable for this purpose are  
18 disclosed in U. S. Patents to Plueddemann No. 4,617,057 and  
19 Bodrogi No. 4,804,572 which are incorporated herein by  
20 reference. A preferred organofluoro compound is a  
21 fluoropolymer that has a molecular weight of approximately  
22 100,000 and that is sold by 3M under the product designation  
23 FC-905. The organofluoro compound of the present invention  
24 is normally incorporated in the composition with the silane  
25 in an amount within a range from 0.1 to 2 percent by weight  
26 of the overall composition with about 1 percent being  
27 preferred. However, it is foreseen that greater quantities  
28 could be included with cost of the fluoro compound being a  
29 somewhat limiting factor.

30 The organofluoro compound is normally added to the

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1 silane composition before application of the mixture to a  
2 surface to be treated. Prior to addition to the silane,  
3 many of the organofluoro compounds require a solvent, such  
4 as trichloroethane, to remain in solution. Such a  
5 solvent is undesirable in the present invention.  
6 Consequently, the organofluoro compound with a highly  
7 volatile solvent therein is first added to the silane. The  
8 volatile solvent is then removed by vacuum distillation or  
9 the like under controlled conditions such that the volatile  
10 solvent is recovered without being released to the  
11 environment and then the mixture with both organofluoro  
12 compound and silane is utilized to treat a surface.

13 It is foreseen that other ingredients can be included  
14 in the silane composition that are soluble therein, such as  
15 biocides.

16 Because of polymerization upon curing, different  
17 monomer or oligomer silanes may have very similar cured end-  
18 products within and on the substrate if the organic radicals  
19 of the different silanes are the same regardless of which  
20 silane is used. Thus, an important differentiating  
21 performance characteristics of the silanes, assuming an  
22 equal weight of end-product, is the depth of penetration  
23 into the substrate. If the depth of penetration is too  
24 deep, then performance effectiveness may be impaired by  
25 diffusion; if the depth of penetration is not deep enough,  
26 then a surface scum may be present and/or the long-term  
27 effectiveness may be impaired due to loss of repellent by  
28 abrasion or erosion. The ideal silane end product will  
29 penetrate deep enough to provide an effective repellency  
30 over a reasonable life expectancy given normal wear-and-tear



1 at an economically acceptable coverage rate, but not so  
2 deeply as to consume excessive quantities of repellent or so  
3 diffusely as to provide inadequate repellency after a modest  
4 extent of wear-and-tear.

5 Surfaces are treated with the compositions of the  
6 present invention by applying the composition to the surface  
7 and spreading by any suitable method such as brushing,  
8 troweling, rolling and preferably spraying. The  
9 compositions of the present invention provide relatively  
10 high rates of coverage (for example, the silanes of the  
11 invention may cover over 500 square feet per gallon as  
12 compared to typical coverage for conventional solvent  
13 carried silane monomers of about 200 square feet per  
14 gallon). The silanes of the present invention, when applied  
15 to a surface of a masonry or other substrate, coat the  
16 surface and flow into pores opening onto the surface. It is  
17 believed that the silane polymerizes both in a linear and  
18 cross-linking manner to align with the pores and, in certain  
19 instances, to bond to exposed hydroxyl groups on the surface  
20 and in the pores. The silane, after binding, allows water  
21 vapor to "breathe" through the surface, but repels liquid  
22 water.

23 As noted above, after curing, the structures of the  
24 linked silanes of the present invention are similar to the  
25 structures formed by monomer silanes upon curing. The  
26 difference between the present invention and the  
27 conventional monomer silanes being a substantial decrease in  
28 the quantity of VOC's released. In particular, conventional  
29 solvent carried monomer silanes, as well as previous usage  
30 of dimer or other low oligomer silanes, typically include a

1 volatile solvent which is not included in the present  
2 invention and in the prior art compositions such volatile  
3 solvents are evaporated into the atmosphere during the  
4 curing process. Secondly, the monomer silanes (including  
5 neat monomer silanes) are more volatile than the higher  
6 silanes and, hence, more of the monomer silanes themselves  
7 tend to spontaneously evaporate, especially on hot surfaces.  
8 Thirdly, when the silanes polymerize or cure, alcohols (or  
9 other volatile hydroxyl compounds) are produced. Because of  
10 the presence of more silicon in the oligomer silanes, as  
11 compared to monomer silanes, fewer by-product volatiles are  
12 released by the oligomer silanes per quantity of weight of  
13 silane used.

14 It is also noted that silanes of the present invention  
15 are preferable to polysiloxanes which are defined as having  
16 between 10 and about 80 silicones, since the polysiloxanes  
17 require a solvent in order to be in a usable composition and  
18 do not penetrate into the substrate being treated as deeply  
19 as the silanes of the present invention and are, thus, more  
20 prone to wear and abrasion.

21 Organoalkoxysilanes are well known in the prior art,  
22 for example see U. S. Patent to Hedlund No. 3,589,917 which  
23 is incorporated herein by reference. Silane compositions  
24 including lower molecular weight oligomers are also found in  
25 the prior art, for example see U. S. Patent of Linn No.  
26 4,525,213 wherein oligomers are included in a solvent  
27 composition. However, the silane oligomers of the present  
28 application, form effective water repellents having  
29 especially low VOC levels that are not disclosed in the  
30 prior art. Similarly, the utility of organoalkoxysilane

17

1 oligomers as an otherwise solvent-free carrier for  
2 organofluoro compounds to provide treatments that are oil  
3 repellent as well as water-repellent with the fluoropolymer  
4 penetrating relatively deeply into the substrate is also not  
5 shown in the prior art.

6 The following examples are for the purpose of  
7 illustrating the present invention and are not intended to  
8 be limiting upon the scope of the claims of the present  
9 application.

10

11 Example 1

12 Properties of silanes according to the present  
13 invention were compared to properties of prior art  
14 compositions. For testing purposes blocks were prepared  
15 that were new, sandblast-finished, salt and pepper glass  
16 fiber reinforced concrete that was cut into six generally  
17 equal sample substrates. The sample substrates were oven  
18 dried to a constant weight and allowed to reabsorb  
19 atmospheric humidity for 24 hours prior to treatment.

20 Silanes were prepared in accordance with the following  
21 descriptions:

22 Sample A: a silane composition was prepared in  
23 accordance with the present invention. Silane Sample A  
24 is a generally solvent-free composition of 1,3-di-n-  
25 octyl-1,1,3,3-tetraethoxydisiloxane. The silane  
26 composition for Sample A was manufactured by PCR, Inc.  
27 of Gainesville, Florida.

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1        Sample B: a silane composition incorporating the same  
2        silane as Sample A except having isopropyl alcohol  
3        solvent in the amount of 20 percent by weight.

4  
5        Sample C: an isobutyltrimethoxysilane composition  
6        having incorporated therein a fluoropolymer sold under  
7        the product designation FC-905 by 3M in the amount of  
8        10 percent by weight. The fluoropolymer being 10  
9        percent by weight of the FC-905 with a remainder being  
10       a trichloroethane solvent, such that Sample C has 1  
11       percent by weight active fluoropolymer.

12  
13       Sample D: same as Sample C except that FC-905 is  
14       present in an amount of 20 percent by weight making the  
15       fluoropolymer 2 percent by weight active.

16  
17       Sample E: a solvent-free composition of  
18       isobutyltrimethoxysilane.

19  
20       Each of the Samples A through E were applied to a  
21       respective sample substrate in one saturating, low pressure  
22       spray application from top to bottom of a vertical surface  
23       of the substrate. The sprayed silanes were not backbrushed  
24       and run-down was minimal in each case. Water repellent  
25       capillary uptake was weighed and recorded for each applied  
26       Sample subsequent to curing as noted below.

27       In particular, after application of the silane of the  
28       Samples to respective substrates, the treated substrates  
29       were allowed to cure for five days prior to performance  
30       testing. To determine water absorption through the face of

1 the substrate to which the silanes were applied, three 2-  
2 inch square cubes were cut from each of the spray applied  
3 substrates, oven dried to a constant weight and allowed to  
4 cool prior to testing. To determine water absorption  
5 through the treated face, the four cut sides and back face  
6 were coated with paraffin wax prior to testing. The testing  
7 described herein was conducted in accordance with ASTM  
8 Standard C-140-75 for Sampling and Testing Concrete Masonry  
9 Units and in accordance with Rilem Test Method No. 11.4 for  
10 Water Absorption Under Low Pressure by Pipe Method. Weight  
11 gains of the treated substrates after immersion in water was  
12 determined at 60-minutes and 24 hours and are shown in Table  
13 1 compared to an untreated substrate. Color enhancement,  
14 water and oil surface repellency, water absorption tube test  
15 and coverage rates were determined and are shown in Table  
16 2.

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TABLE I

<u>Treatment</u>	<u>Test Sample Specimen</u>	<u>Moisture Abs.After 60 min.</u>	<u>Moisture Abs.After 24 Hours</u>	<u>% Effectiveness</u>
Untreated	1	0.76%	2.49%	.
Substrate	2	0.62%	2.29%	.
	3	0.53%	1.88%	.
	average	0.64%	2.22%	.
Sample A	4	0.06%	0.16%	93%
	5	0.08%	0.10%	95%
	6	0.07%	0.49%	78%
	average	0.07%	0.25%	89% *94%
Sample B	7	0.04%	0.08%	96%
	8	0.05%	0.11%	95%
	9	0.05%	0.10%	95%
	average	0.05%	0.10%	95%
Sample C	10	0.04%	0.10%	96%
	11	0.05%	0.17%	92%
	12	0.05%	0.11%	95%
	average	0.05%	0.13%	94%
Sample D	13	0.09%	0.37%	83%
	14	0.06%	0.14%	94%
	15	0.05%	0.12%	95%
	average	0.07%	0.21%	91%
Sample E	16	0.07%	0.24%	81%
	17	0.07%	0.15%	94%
	18	0.29%	1.02%	54%
	average	0.14%	0.46%	79% *91.5%

\* - The average effectiveness was recalculated showing deletion of 6 and 18.

TABLE II

untreated	. .	3	NB	0.3	. .	. .	. .
Sample A	A	2	NB	0.1	492	6.93	1-2
Sample B	A	2	NB	0.1	556	4.77	1-2
Sample C	A	1	B	0.0	516	6.22(0.07)	1-2
Sample D	A	1	B	0.0	584	5.01(0.13)	1-2
Sample E	A	2	NB	0.1	496	7.02	1-2

22

1 Test results are summarized below:

2 The results of the water absorption by ASTM Standard C  
3 140, Wax Immersion after 24 hours indicate that all Samples  
4 A through E evaluated produced superior results (91% - 95%)  
5 effectiveness compared to the untreated specimens.

6 The color enhancement test by Visual assessment after 2  
7 hours and after 96 hours indicate that at 2 and 96 hours  
8 following treated surfaces visually resembled untreated  
9 samples (The letter A indicates no change and the letter E  
10 indicates slight darkening).

11 Results of surface water repellency tests are indicated  
12 by numbers wherein 1 indicates excellent with no flattening,  
13 2 indicates good with slight flattening and 3 indicates poor  
14 with surface wet. Samples C and D displayed excellent  
15 surface water repellency. Samples A, B and E without  
16 fluoropolymer were somewhat less effective but still good.

17 Oil repellency was tested by visual assessment after 30  
18 minutes in a horizontal orientation with E indicating  
19 beading and NB indicating no beading. Samples C and D  
20 (containing fluoropolymer) displayed excellent surface  
21 hydraulic oil repellency. Samples A, B and E without  
22 fluoropolymer displayed no repellency for hydraulic oil.

23 The water absorption test was conducted in accordance  
24 with Rilem II.4 for 20 minutes in a vertical orientation and  
25 simulating wind-driven rain conditions. Absorption is  
26 measured in a range from 0 to 5 milliliters. Samples C and  
27 D displayed excellent water repellency (0.0 ml absorption).  
28 Samples A, B and E without fluoropolymer absorbed 0.1  
29 milliliters which is within a good range.

30 The test for coverage rate measures the volume of



1 samples A through E applied per unit area of surface of the  
2 respective substrate in square feet per gallon. A light  
3 saturating application produced coverage rates in a range  
4 from 496 sq. ft/gal for Sample E to 584 sq. ft/gal. for  
5 Sample D.

6 The test for active wet deposition calculates the  
7 weight of active sample applied per unit area of surface of  
8 respective substrate in grams per square foot. Silane  
9 deposition varied from 4.77 g/sq. ft. for Sample B to 7.02  
10 g/sq.ft. for Sample E. The fluoropolymer deposition was .07  
11 g/sq.ft. for Sample C and 0.13 g/sq,ft. for Sample D.

12 The test for penetration depth was by visual analysis  
13 wherein a cross-section of each Sample was made and wetted  
14 for comparison to the untreated substrate. A  
15 penetration depth of 1-2 millimeters was measured for all  
16 samples.

17 In conclusion, based on immersion testing, all Samples  
18 A through E displayed above average water repellent  
19 protection. At high coverage rates, the silanes of  
20 Samples A, B and E displayed 0.1 milliliter absorption based  
21 on water absorption tube tests. The addition of 1%  
22 fluoropolymer in Sample C (0.07 g/sq.ft. wet deposition)  
23 increased water repellency and oil repellency significantly.

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Example II

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Substrate blocks were prepared by cutting 2 inch by 2 inch by 1 inch thick blocks from "Briar Hill" cavallo buff sandstone. The substrate blocks were oven dried to a constant weight and allowed to reabsorb atmospheric humidity for 24 hours prior to treatment.

Samples were prepared to test the depth of penetration of organofluoro compounds in accordance with the present invention in comparison to conventional prior art. The prepared samples were as follows:

Sample F: A composition including 90 percent by weight 1,3-Di-n-octyl-1,1,3,3-tetraethoxysiloxane, 1 percent by weight of active fluoro polymer and 9 percent by weight of 1,1,1 trichloroethane with the fluoro polymer and trichloroethane being from a common source sold by 3M under the product designation FC-905.

Sample G: A composition including 98.9 percent of the silane of Sample F and 1.1 percent of the active fluoro polymer of Sample F. (The composition of Sample G having been formed by mixing the silane and FC-905 together and thereafter vacuum evaporating at room temperature the trichloroethane from the composition).

Sample E: A composition including 90 percent by weight isobutylmethoxysilane, 1 percent by weight active fluoropolymer and 9 percent by weight 1,1,1-

1 trichloroethane with the source of the latter two  
2 components being the above noted FC-905.

3  
4 Sample I: A composition including 98.9 percent by  
5 weight isobutyltrimethoxysilane and 1.1 percent by  
6 weight of active fluoro polymer derived by vacuum  
7 evaporation of FC-905 after addition to the silane as  
8 noted for Sample G.

9  
10 Sample J: A composition including 1 percent by weight  
11 active fluoro polymer and 99 percent by weight 1,1,1-  
12 trichloroethane derived by diluting the FC-905 product  
13 noted above with the ethane.

14  
15 Each of the Samples F through J was applied dropwise  
16 to a respective substrate until an effective coverage rate  
17 of 228 square feet per gallon was achieved. Treated  
18 substrates were allowed to then cure for four days. A  
19 substrate treated with each of Samples F through J along  
20 with an untreated control substrate block were split and  
21 wetted on a split side thereof with a water carried  
22 methylene blue. The depth of water repellency was  
23 determined by measuring the distance from the treated  
24 surface upon which the water beaded rather than was  
25 absorbed.

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The results of the water repellency test were as follows:

<u>Sample</u>	<u>Depth of Water Repellency in millimeters</u>
F	9-10
G	9-11
H	9-10
I	10-12
J	1-2

Additional treated blocks with Samples H, I and J, along with an untreated control sample, were placed untreated side down in 3 to 4 millimeters of hydraulic oil (Monsanto Skydrol B-4) and the oil was allowed to rise until the top surface of the control cube was saturated with oil. The distance of the oil from the treated surface of the substrate blocks at the time of the completion of the test was measured and is reported below as the Depth of Oil Repellency:

<u>Sample</u>	<u>Depth of Oil Repellency in millimeters</u>
H	2-3
I	3-4
J	1-2

Example III

The volatile organic content (VOC) of various solvent-free silanes was determined for the following silanes in accordance with proposed ASTM standard D.01.47.03 expected to be finally implemented in January, 1991. The tests were run with triplicate averages and the results are as follows:

<u>Sample</u>	<u>VOC in grams per liter</u>
K: neat isobutyltrimethoxysilane	376
L: neat octyltriethoxysilane	305
M: neat 1,3-Di- <u>n</u> -octyl-1,1,3,3- tetraethoxydisiloxane	220

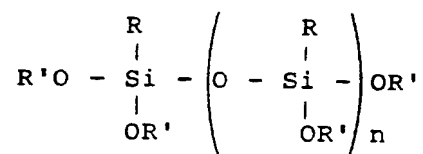
It is to be understood that while certain forms of the present invention have been illustrated and described herein, it is not to be limited to the specific forms or compositions described and shown.

C L A I M S

What is claimed and desired to be secured by Letters Patent is as follows:

1. A method of treating a substrate so as to impart water repellency to the substrate comprising the steps of:

- (a) applying to said substrate a substantially solvent-free organoalkoxysilane liquid of the following general formula:



wherein:

R is an alkyl, cycloalkyl, arylalkyl, or alkaryl group or mixtures thereof having from 1 to about 30 carbon atoms wherein said carbon atoms are fully saturated with hydrogen or partially saturated with hydrogen with double bonds therebetween or with heteroatoms or fluorinated derivatives thereof;

R' is an alkyl or alkoxyalkyl group having from 1 to about 8 carbon atoms or mixtures thereof; and n is between 1 and about 8; and

- (b) allowing said organoalkoxysilane to cure.

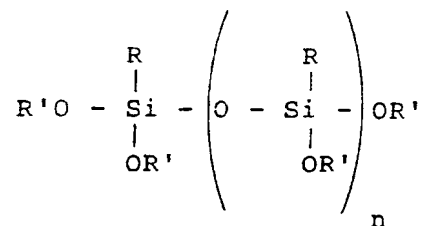
2. The method according to Claim 1 wherein:
  - (a) R is an alkyl group having between 4 and 8 carbons; and
  - (b) n is between 1 and 3.
3. The method according to Claim 1 wherein:
  - (a) said organoalkoxysilane is substantially:  
1,3-di-n-octyl-1,1,3,3-tetraethoxydisiloxane.
4. The method according to Claim 1 wherein:
  - (a) said organoalkoxysilane is substantially:  
1,3-di-n-octyl-1,1,3,3-tetramethoxydisiloxane.
5. The method according to Claim 1 including the step of:
  - (a) mixing an oleophobic organofluoro compound into said liquid prior to applying said liquid to said substrate.
6. The method according to Claim 5 wherein:
  - (a) said organofluoro compound includes a volatile solvent therewith when mixed with said liquid; and including the step of:
  - (b) removing said volatile solvent from said liquid prior to addition of said liquid to said substrate.

7. The method according to Claim 6 wherein:
  - (a) said organofluoro compound is a fluoropolymer.
8. A method of treating a substrate to render the substrate water repellent comprising the step of:
  - (a) applying to a surface of the substrate a liquid consisting essentially of an organoalkoxysilane having between 2 and 10 silicon atoms and mixtures thereof.
9. The method according to Claim 8 wherein:
  - (a) said organoalkoxysilane is a dimer, trimer or mixture thereof.
10. The method according to Claim 8 including the step of:
  - (a) mixing an oleophobic organofluoro compound with said liquid to form a mixture prior to applying said liquid to said substrate.
11. The method according to Claim 10 wherein:
  - (a) said organofluoro compound includes a volatile solvent therewith when mixed with said liquid; and including the step of:
  - (b) removing said volatile solvent from said liquid prior to application of said liquid to said substrate.



12. The method according to Claim 11 wherein:
  - (a) said organofluoro compound is a fluoropolymer present in an amount less than about 2 percent by weight and the remainder of said mixture is said organoalkoxysilane.
13. A substantially solvent-free liquid for the treatment of a substrate to render the substrate water repellent consisting essentially of:
  - (a) a dimer, trimer, tetramer, or pentamer organoalkoxysilane or mixtures and condensation products thereof.
14. The liquid according to Claim 13 wherein:
  - (a) said organoalkoxysilane is a dimer or trimer.
15. The liquid according to Claim 13 including:
  - (a) an oleophobic organofluoro compound.
16. The liquid according to Claim 13 wherein:
  - (a) said organofluoro compound is present in an amount between 0.0 and 2.0% by weight and said organoalkoxysilane is a remainder of said liquid.

17. A liquid for rendering a masonry substrate water repellent having substantially no solvent and being substantially an organoalkoxysilane having the following formula:



wherein R and R' are organic radicals and n is from 1 to 8.

18. The liquid of Claim 17 wherein:
- (a) R is an alkyl group having between 4 and 8 carbons;
  - (b) R' is an alkyl group having between 1 and 2 carbons; and
  - (c) n is between 1 and 3.
19. The liquid according to Claim 17 wherein:
- (a) said organoalkoxysilane is substantially:  
1,3-di-n-octyl-1,1,3,3-tetraethoxydisiloxane.
20. The liquid according to Claim 17 including:
- (a) an oleophobic organofluoro compound.

21. The liquid according to Claim 17 wherein:
- (a) said organofluoro compound is a fluoropolymer mixed with said liquid prior to usage thereof.
22. In a solvent-free organoalkoxysilane liquid for rendering a substrate water repellent, the improvement comprising:
- (a) an oleophobic organofluoro compound in an amount between 0.1 and 2% by weight.
23. The liquid according to Claim 22 wherein:
- (a) said organoalkoxysilane prior to curing has substantially entirely between 2 and 10 silicon atoms and mixtures thereof.
24. In a method of rendering a substrate water repellent by the application of a solvent-free organoalkoxysilane, the improvement including the step of:
- (a) applying to a surface of the substrate an organoalkoxysilane substantially having only between 2 and 5 silicon atoms per molecule and condensation products and mixtures thereof.

25. In a method of rendering a substrate water and oil repellent by application of a organoalkoxysilane liquid, the improvement comprising the step of
- (a) mixing said organoalkoxysilane with an organofluoro compound and a volatile solvent for said compound to form a mixture;
  - (b) thereafter removing substantially all of said volatile solvent from said mixture prior to application to said substrate; and
  - (c) thereafter applying said mixture in a substantially solvent-free state to said substrate.

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US91/01512

## I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all)

According to International Patent Classification (IPC) or to both National Classification and IPC

IPC(5): C07F 13/00; C09D 183/00

US CL.: 106/2,287.12,287.12,287.14,287.16; 524/861

## II. FIELDS SEARCHED

Minimum Documentation Searched \*

Classification System

Classification Symbols

US

106/2,287.12,287.13,287.14,287.16; 524/861

Documentation Searched other than Minimum Documentation  
to the Extent that such Documents are Included in the Fields Searched \*

APS COMPUTER SYSTEM

## III. DOCUMENTS CONSIDERED TO BE RELEVANT \*\*

Category *	Citation of Document, with indication, where appropriate, of the relevant passages *	Relevant to Claim No. **
X	US, A, 4,716,051 (RODDER) 29 December 1987 See entire document.	1,2,8-9,13-14, 17-18,24
Y	US, A, 4,338,375 (WASHIMOTO et al.) 06 July 1982 See entire document.	1,2,5,7-10,12- 18,20-24

\* Special categories of cited documents: 1)

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

## IV. CERTIFICATION

Date of the Actual Completion of the International Search \*

07 MAY 1991

International Searching Authority \*

ISA/US

Date of Mailing of this International Search Report \*

17 MAY 1991

Signature of Authorized Officer <sup>10</sup>

*C. Melissa Bonner*  
C. MELISSA BONNER